32692
Customer Number

RADEMIRED

REC 2 7 2005

Patent Case No.: 59417US002

Zhu

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

OUDERKIRK, ANDREW J.

Application No.:

10/727026

Group Art Unit: 2879

Filed:

December 2, 2003

Examiner:

Quarterman, Kevin J.

Title:

PHOSPHOR BASED LIGHT SOURCE HAVING A NON-PLANAR LONG PASS

REFLECTOR AND METHOD OF MAKING

## Information Disclosure Statement

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION	137	CFR 8	3 1.5	R(a)
CERTIFICATE OF MAILING OR TRANSMISSION	J ,	CIT	,	J(4)

I hereby certify that this correspondence is being:

- ☑ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at 571-273-8300.

December 22, 2005

Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Case No.: 59417US002

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

20 Dec. 2005

Date

Stephen C. Jensen, Reg. No.: 35,20

Telephone No.: 651-736-3369

Office of Intellectual Property Counsel
3M Innovative Properties Company

Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Tuse as many sheets as necessary)

DEC 2 7 2005 L

Page 1 of 1

Application Number	10/727026
Filing Date	December 2, 2003
First Named Inventor	Ouderkirk, Andrew J.
Art Unit	2879
Examiner Name	Quarterman, Kevin J.
Attorney Case Number	59417US002

WADENA		U.S. Patent Documents						
Exam.	Cite	Document Number	Publication Date or Issue Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant			
Init.*	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear			
	A1	US-		<del></del>	_			

Foreign Patent Documents									
Exam.	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	Translation		
Init.*	No.	Ctry. Code	Number-KindCode (If known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	(Check if yes)		
	B1								

	OTHER DOCUMENTS						
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)				
	C1	Office Action for U.S. Serial 10/727,072 (Attorney Docket No. 59416US002), mailed on September 15, 2005.					
	C2	Amendment and Response to Office Action for U.S. Serial No. 10/727,072 (Attorney Docket No. 59416US002), dated December 15, 2005.					

E					

**Date Considered:**